

On the surface morphology of solution annealed Co_{1-x}O – MgO —Effects of directional dislocation exposure and Co_{1-x}O condensation

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Abstract

The sintered Co_{1-x}O ceramics with or without 20 mol% MgO solid solution in the rock salt type structure were annealed in the temperature range of 400–1500 °C in air for surface morphology development study. Electron microscopic observations indicated the MgO component considerably suppressed the thermal etching and the nucleation of the Co-rich spinel as expected. Surprisingly, prolonged annealing at 1500 °C caused anisotropic development of the $\{111\}/\{100\}$ -faceted etch pits/hillocks from the cubic crystal system, which can be rationalized by the predominant exposure of $\langle 110 \rangle$ oriented dislocations on the $\{111\}$ surfaces. Meanwhile, sublimation–condensation at this temperature caused cube-like Co_{1-x}O crystallites to deposit preferentially on the (100) surface following parallel or 45° off crystallographic relationship via Brownian motion of the crystallites. © 2007 Elsevier Ltd. All rights reserved.

Keyword: Thermal etch pits

1. Introduction

The motivation of this research was to demonstrate, by high temperature annealing experiments, that the solid solution of a cubic crystal system could have rather anisotropic surface morphology due to the combined effects of directional dislocation exposure and a sublimation–condensation process of the relatively volatile component upon thermal etching.

Anisotropic crystals are expected to exhibit directional dissolution in acidic solutions or upon thermal exposure. For example, chemical etching of the hexagonal willemite ($\alpha\text{-Zn}_2\text{SiO}_4$) single crystals in acidic aqueous solution showed the dissolution rates along specified directions have the following relationship: $[0001] > \langle 10\bar{1}0 \rangle \geq \langle 11\bar{2}0 \rangle$ for $\text{pH} < 2$, but the order was reversed for $\text{pH} > 2$.¹ The dissolution inhibitors, either inherent from natural minerals or added on purpose in solution, tend to accumulate at dislocation outcrops and maintain the near-surface undersaturation of willemite, thus allowing etch pits to develop at these high energy sites on (0001) surface.² These inhibitors can also cause etch hillocks in areas away from dislocation

outcrops on (0001) surface³. Chemical etching of another hexagonal single crystal, i.e. apatite,⁴ in acidic aqueous solution also showed the opening of dislocation etch pits on basal planes. The dissolution rates along the principal directions of the apatite have the following relationship $[0001] > [11\bar{2}0] > [10\bar{1}0]$ for $\text{pH} 0\text{--}1$, but the order was reversed for $\text{pH} > 3$.⁴ In general, anisotropic dissolution of these hexagonal crystals can be attributed to the active lattice sites and dislocation exposure to specific surfaces.¹

On the other hand, thermal exposure of the willemite (0001) surface at 1250 °C typically caused shallow hexagonal pits nucleation at dislocation outcrops beneath a surface-premelt Si-rich layer, and the formation of hillocks at etch pit centers,⁵ in accordance with the inhibition effect of impurities at dislocation outcrops. By contrast, thermal etching of the polycrystalline willemite in the temperature range of 1050–1350 °C caused rapid grooving at grain boundaries besides anisotropic etching in crystals.⁶ The grooves and ridges near the grain boundaries became obscured subsequently due to deposition under the influence of capillarity effect. Thermal etching of the exposed grains also caused faceted pores and surface ledges, which were more or less dragged with dislocation outcrops.⁶ Furthermore, Mn^{2+} dopant was found to be an effective inhibitor for the thermal etching at dislocation outcrops and sub-grain boundaries.⁶ This

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point is of concern to thermal stability of zinc orthosilicates in glaze or glass ceramics and degradation of phosphorescence materials, e.g. Mn-doped and (Mn, As)-codoped α -Zn₂SiO₄ in modern CRT displays⁷ upon electron-beam heating.

Here we report the surface morphology development of solution annealed Co_{1-x}O–MgO ceramics focusing on the underlying effects of directional dislocation exposure on specific surface, and the condensation–deposition of cube-like Co_{1-x}O crystallites on specific surface/ledge. Hopefully, the thermal stability of rock salt type catalytic materials⁸ at high temperatures can be better understood.

2. Experimental procedure

Co_{1-x}O (Cerac 99.9%, 2 μ m) and MgO (Cerac 99.9%, 5 μ m) powders in 8:2 molar ratio (denoted as C8M2) were ball milled in alcohol, oven dried at 100 °C, and then dry pressed at 650 MPa to form pellets ca. 5 mm in diameter and 2 mm in thickness. The C8M2 and undoped Co_{1-x}O pellets were dry pressed under the same condition, and then sintered at 1600 °C for 5 h in air followed by quenching in air to form compositionally homogeneous solid solution. These specimens were further annealed at 1500 °C for 10 min up to 12 h in air, in order to

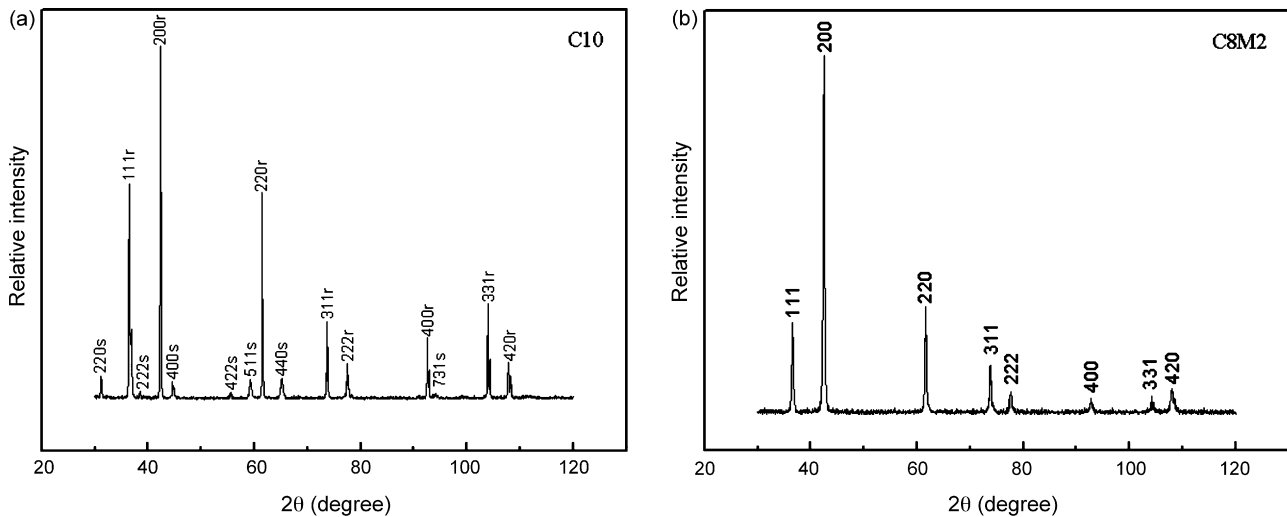


Fig. 1. XRD trace (Cu K α) of (a) Co_{1-x}O sample with considerable amount of spinel phase (denoted as s) besides the predominant rock salt type phase (denoted as r) and (b) C8M2 sample of rock salt type solid solution with rather limited amount of spinel phase according to AEM. Both samples were sintered at 1600 °C for 5 h and then cooled in air.

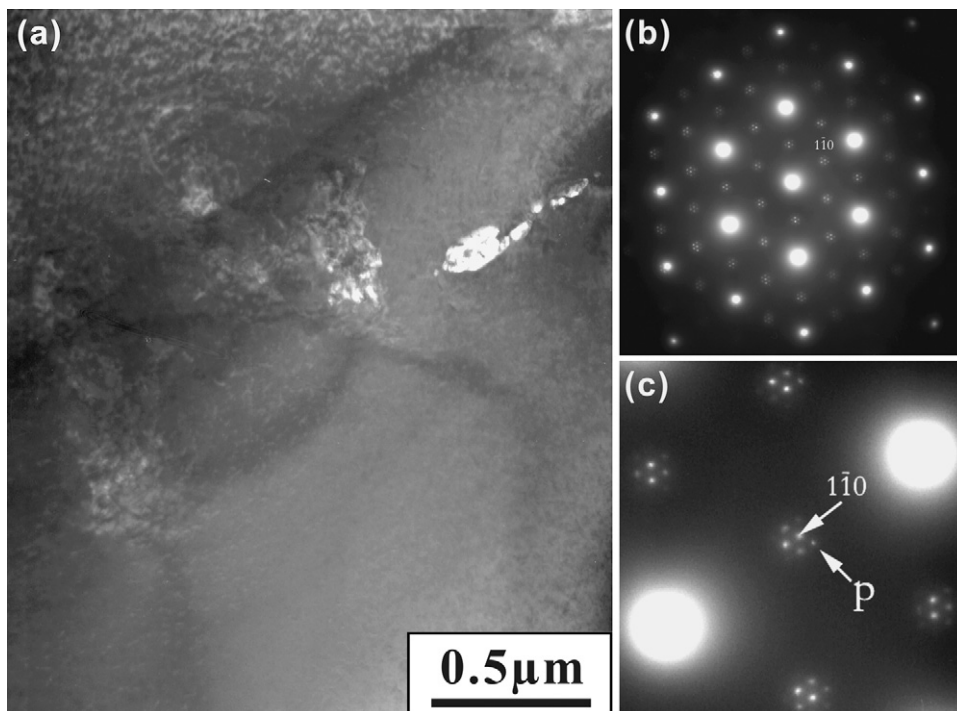


Fig. 2. TEM of the paracrystalline spinel phase in the sintered C8M2 sample subject to thermal etching at 1500 °C for 10 min: (a) DFI ($g = 1\bar{1}0$ of spinel denoted as s), (b) SAED pattern in $[1\ 1\ 1]$ zone axis and (c) magnified from (b) to show paracrystal diffraction (denoted as p) around $1\bar{1}0$ s.

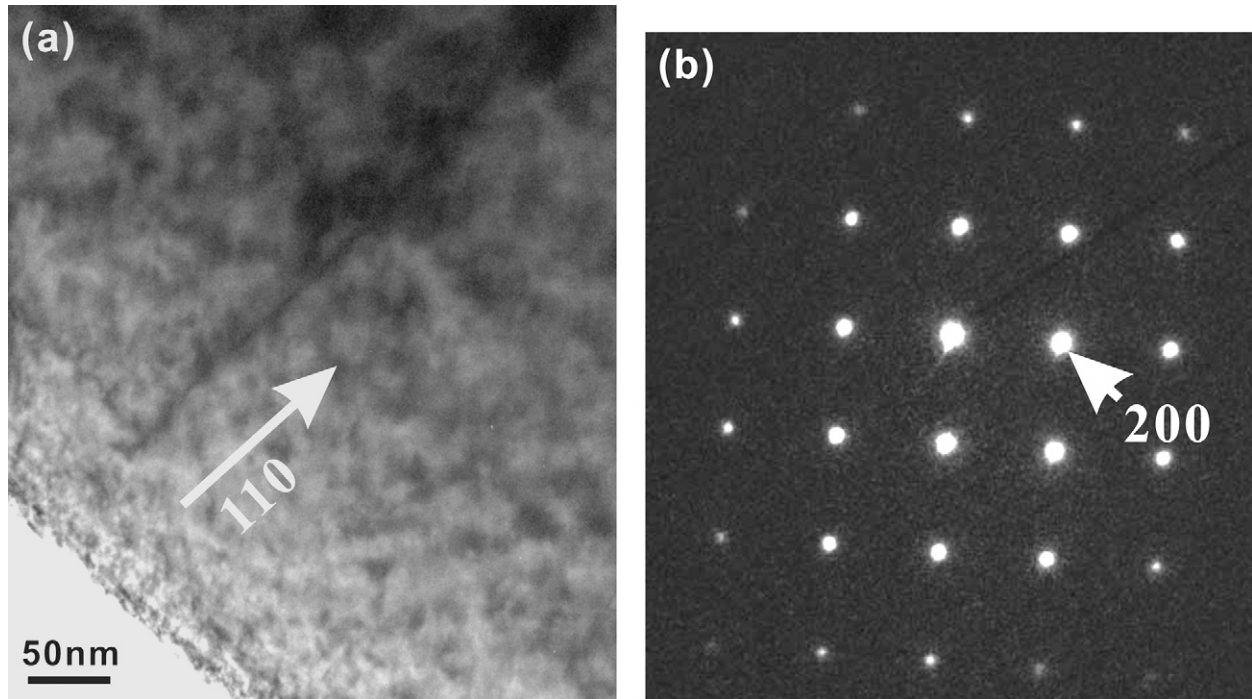


Fig. 3. TEM (a) BFI and (b) SAED pattern in $[00\ 1]$ zone axis of the sintered C8M2 sample subject to thermal etching at $1500\text{ }^{\circ}\text{C}$ for 10 min showing dislocations with line vector parallel to $(1\ \bar{1}\ 0)$, as the case in C1M9 sample reported in ref.¹⁰

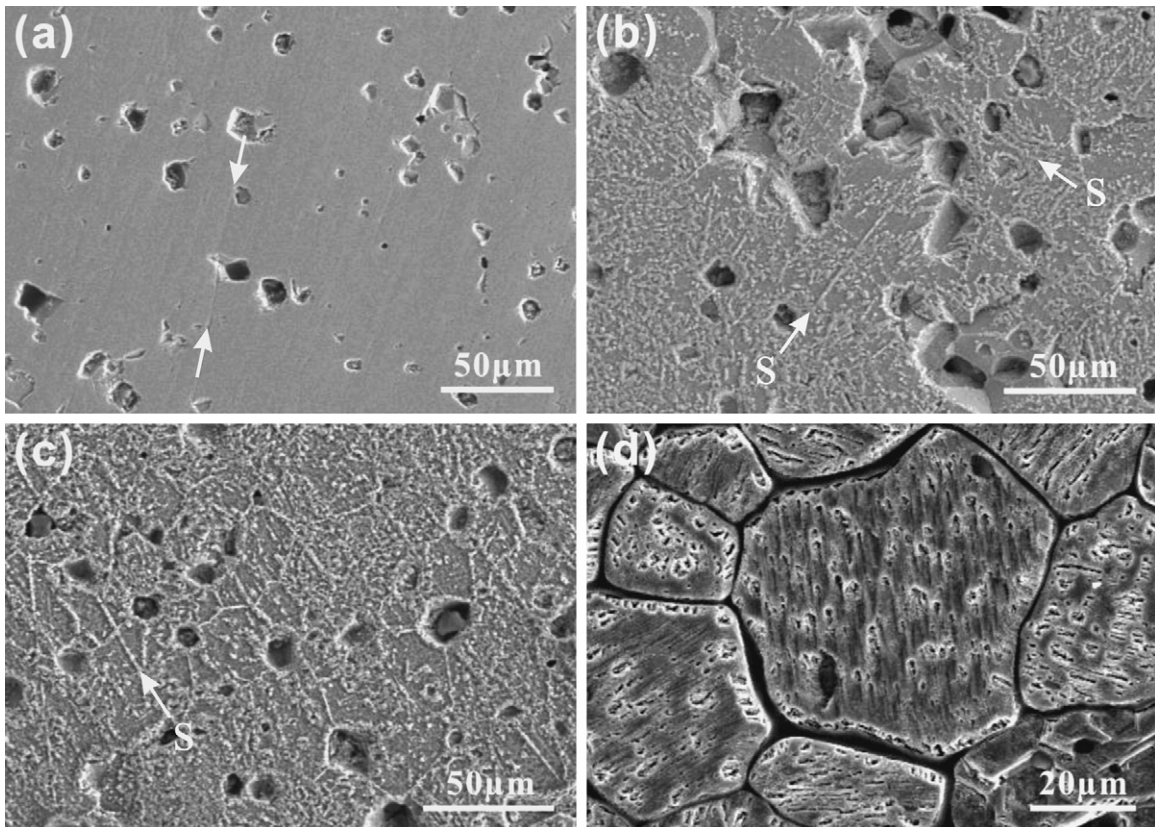


Fig. 4. SEM (SEI) of the sintered Co_{1-x}O sample subject to thermal etching at (a) $400\text{ }^{\circ}\text{C}$, (b) $800\text{ }^{\circ}\text{C}$, (c) $1100\text{ }^{\circ}\text{C}$ and (d) $1500\text{ }^{\circ}\text{C}$ for 10 min, showing considerable etching along grinding scratch (denoted by an arrow) in (a); formation of Co-rich (bright) spinel phase (denoted as s) along step ledges in (b) and (c) (cf. text); and extensive development of etch pits and grain boundary grooving in (d).

study the development of the surface morphology and defect clusters.

X-ray diffraction (XRD, Cu K α , 40 kV, 30 mA, at 0.05° and 3 s per step from 2 θ angle of 30° up to 120°) was used to identify the phases of the fired specimens. Thin sections of the sample thermally etched at 1500 °C for 10 min was Ar-ion milled to electron transparency for transmission electron microscopy (TEM, JEOL 3010 instrument at 300 kV) characterization of defect microstructure based on bright field image (BFI) and selected area electron diffraction (SAED) pattern. Scanning electron microscopy (SEM, JSM-6400, 20 kV) coupled with point-count energy dispersive X-ray (EDX) analysis was used to observe the surface morphology of the thermally etched ceramics.

3. Results

3.1. Phase and defect identification

XRD indicated that the Co_{1-x}O ceramic sample sintered at 1600 °C for 5 h contain the spinel phase besides the predominant protoxide of rock salt type structure (Fig. 1a). The spinel phase was formed during cooling in view of the oxidation of Co_{1-x}O to form Co_{3- δ} O₄ spinel below ca. 900 °C.⁹ By contrast, the C8M2 ceramic sample fired under the same condition

showed only rock salt type solid solution in the XRD trace (Fig. 1b). Apparently, the solid solution of MgO in Co_{1-x}O has suppressed its oxidation upon cooling in air to form the spinel phase.

TEM revealed minor paracrystalline spinel phase in the C8M2 ceramic sample subjected to thermal etching at 1500 °C for 10 min (Fig. 2). The BFI and SAED pattern of the protoxide in this specimen (Fig. 3) further revealed dislocations, which have line vector parallel to $\langle 110 \rangle$ of the rock salt structure. The same dislocation line vector was observed in our previous study of the fired C1M9 polycrystals.¹⁰

3.2. Surface morphology of thermally etched Co_{1-x}O versus C8M2 ceramics

SEM indicated that the sintered Co_{1-x}O ceramic sample suffered considerable thermal etching along the grinding scratches when annealed at 400 °C for 10 min (Fig. 4a). Upon annealing at 800 or 1100 °C for 10 min (Fig. 4b and c), the Co-rich spinel was developed along the terrace ledges. Thermal etch pits and grain boundary grooves were clearly developed when the sintered Co_{1-x}O sample was annealed at 1500 °C for 10 min (Fig. 4d).

By contrast, the sintered C8M2 ceramic sample subject to annealing at 400 °C for 10 min showed negligible thermal etch-

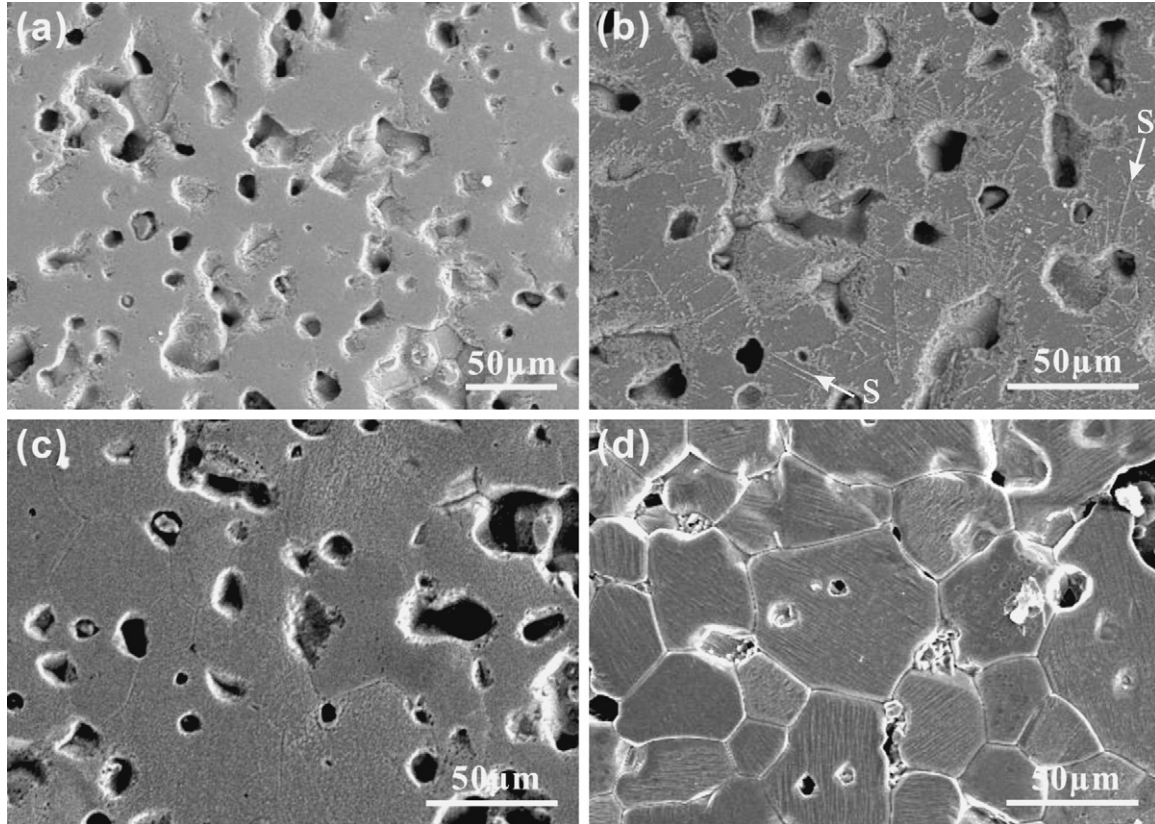


Fig. 5. SEM (SEI) of the sintered C8M2 sample subject to thermal etching at (a) 400 °C, (b) 800 °C, (c) 1100 °C and (d) 1500 °C for 10 min, showing negligible etching along grinding scratches in (a); slight formation of Co-rich (bright) spinel phase (denoted by s) along step ledges in (b) but not in (c); and onset intragranular/intergranular etching in (d).

ing along the grinding scratches (Fig. 5a). The Co-rich spinel phase along the terrace ledges was recognized for the C8M2 polycrystals annealed at 800 °C for 10 min (Fig. 5b) but not 1100 °C for 10 min (Fig. 5c). Slight thermal etching at grain boundaries and dislocation outcrops was recognized for the C8M2 ceramic sample annealed at a higher temperature of 1500 °C for 10 min. It can be concluded that the relatively refractory MgO component considerably suppressed thermal etching and formation of the Co-rich spinel phase in the temperature range studied.

3.3. Morphology of thermal etch pits and hillocks in C8M2 ceramics

SEM (SEI) at a relatively high magnification showed that the sintered C8M2 ceramic sample subject to annealing at 1500 °C for 10 min have trigonal thermal etch pits, which were significantly dragged by the inclined $\{111\}$ ledge and $\{111\}/\{110\}$ kinks when viewed in the $\langle 111 \rangle$ direction (Fig. 6a). The hexagonal etch pits with the inclined $\{111\}$ and $\{100\}$ walls in $\langle 111 \rangle$ zone axis were developed when the sample was subjected to further annealing at 1500 °C for 1 and 3 h (Fig. 6b

and c, respectively). It should be noted that these etch pits preferentially occurred and coalesced at the $\{111\}$ surface due to the outcrop of dislocations with a specific $\{110\}$ line vector as discussed later.

In general, dislocation etch pit surrounded by shallow terraces was typical, observed for the sample subject to short annealing at 1500 °C for 10 min (Fig. 7a). Circular terrace at the interior of the pit then developed upon annealing at 1500 °C for 1 h, presumably due to an onset diffusion-controlled etch process (Fig. 7b). The etch pits finally coalesced/impinged when the sample was annealed for a longer time up to 3 and 12 h as shown in Fig. 7c and d, respectively. The spiral hillocks and faceted pits were commonly observed for these specimens (Fig. 8).

3.4. Condensation of Co-rich crystallites on C8M2 ceramics

The sintered C8M2 ceramic sample subject to annealing at 1500 °C for 10 min showed the cube-like Co_{1-x}O condensates, which gave bright contrast in SEM image and high Co counts in the point-count EDX spectrum (Fig. 9). It is difficult, if not

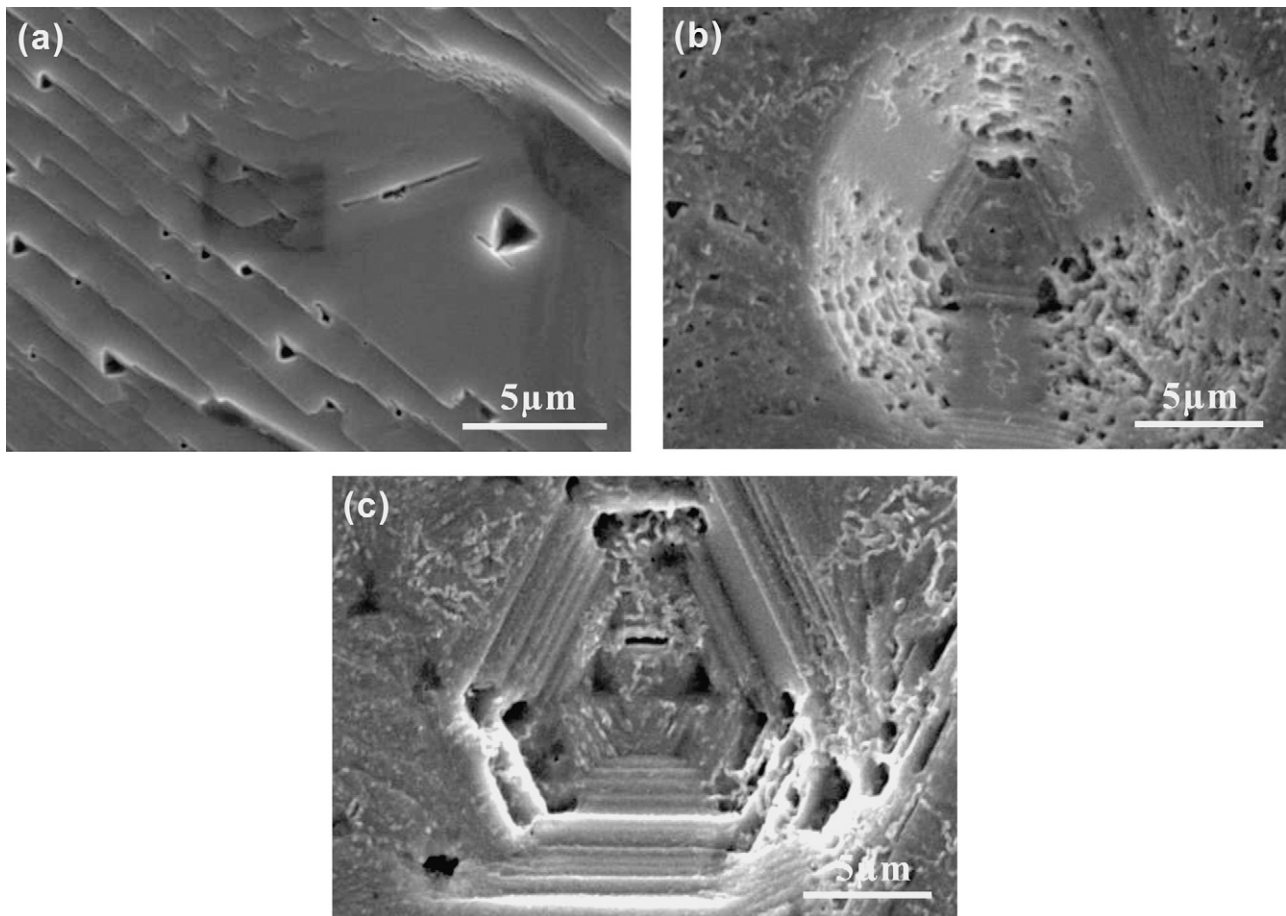


Fig. 6. Magnified SEM (SEI) of the sintered C8M2 sample subject to thermal etching at 1500 °C for (a) 10 min, (b) 1 h and (c) 3 h, showing etch pit dragging on inclined $\{111\}$ ledge and $\{111\}/\{110\}$ kinks in (a), and the coalescence of dislocation etch pits preferentially at inclined $\{111\}$ surface due to $\{110\}$ line vector of dislocations (cf. text) in (b) and (c).

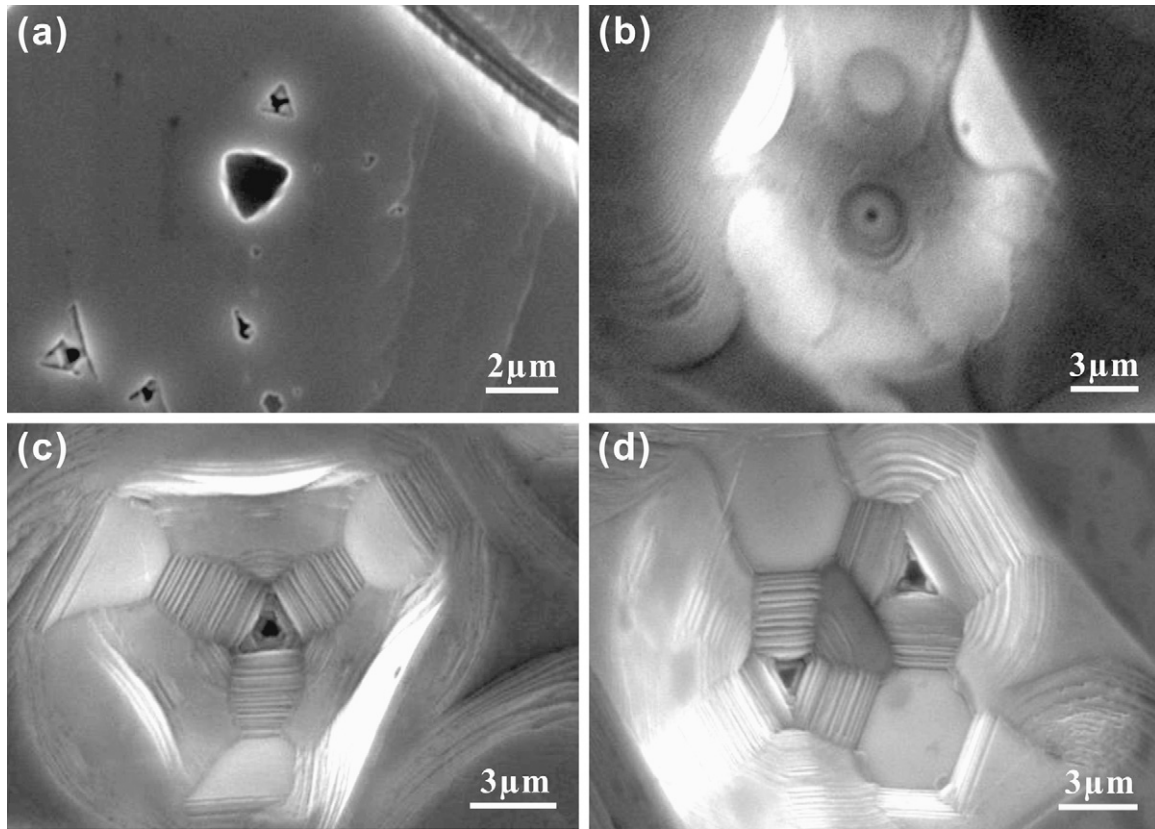


Fig. 7. SEM (SEI) of the sintered C8M2 sample subject to thermal etching at 1500 °C for (a) 10 min, (b) 1 h, (c) 3 h and (d) 12 h showing etch pit nucleation on dislocation outcrop to form deep pore within shallow etch pits. Note circular terrace within the pit due to onset diffusion-controlled etch process in (b), and coalescence of etch pits from (c) to (d) (cf. text).

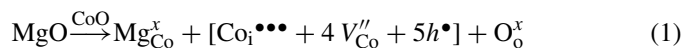
possible, to do electron back-scattered diffraction on a thermally etched surface especially when covered with many micron-size Co_{1-x}O condensates and terrace ledges. However, the orthogonal and equilateral $\{100\}$ ledges of the underlying solid solution grain with cubic rock salt type structure (Fig. 9) indicate that it is in $[001]$ zone axis. This approach of crystal orientation determination, based on thermal etch pits that reflect the internal crystal structure and surface energetics, was commonly accepted by researchers. Our additional experiment further indicates that the Co_{1-x}O condensates typically have well-developed $\{100\}$ surfaces (Appendix B). The crystallographic orientation of the Co_{1-x}O condensates with respect to the underlying grain can, thus be unambiguously determined. In fact, the cubic Co_{1-x}O condensates tended to have their edges parallel to, or 45° off, the orthogonal ledges of the terraces, indicating two specific epitaxial relationships as discussed later. This interpretation is in accordance with the interfacial energetics considerations and the well-known such relationships for the analogous fcc Au condensates on KCl(100) with or without steps as addressed in Section 4.4.

The C8M2 ceramic sample subject to thermal etching at 800 °C for 10 min also showed Co-rich phase at the step ledges (Fig. 10). This Co-rich phase is apparently the spinel formed by an oxidation precipitation process and/or the Co_{1-x}O protoxide formed by a sublimation–condensation process. The nearby grain boundaries became precipitate-free valleys in such a sublimation–condensation process.

4. Discussion

4.1. Defect chemistry and spinel paracrystal formation in bulk material

A smaller X-ray lattice parameter for Mg-doped Co_{1-x}O (0.4255 nm) than undoped Co_{1-x}O (0.4260 nm) indicated that Mg^{2+} (effective ionic radii, 0.0720 nm) replaced Co^{2+} in high spin (0.0745 nm) rather than low spin state (0.0650 nm) in CN 6.¹¹ The undersized dopant Mg^{2+} in the Co^{2+} site could also force further cobalt ion to enter the interstitial site as $\text{Co}_i^{\bullet\bullet\bullet}$, which then induced charge-compensating cation vacancies and 4:1 defect clusters through the following equation in Kröger–Vink notation¹²:



Here Mg_{Co}^x signifies a noncharged magnesium at cobalt sites in the crystal lattice and h could be associated with V''_{Co} to form V'_{Co} or associated with Mg_{Co}^x to form MgCo^{\bullet} . The resultant 4:1 type defect clusters can then be assembled to form paracrystalline ordered state and then spinel. According to previous TEM observations,¹⁰ the Mg-dopant introduced extra cobalt vacancies and Co^{3+} interstitials for $\text{Co}_{3-\delta}\text{O}_4$ to form a smaller interspacing of paracrystal, i.e. 4.5 instead of 5.0 times that of average spinel lattice parameter. The δ value of Mg-doped

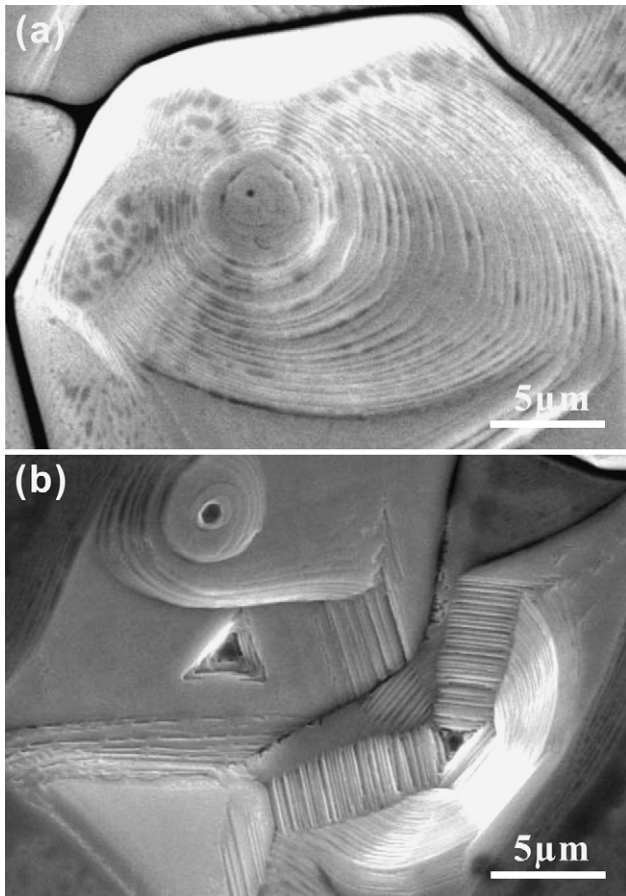


Fig. 8. SEM (SEI) of the sintered C8M2 sample subject to thermal etching at 1500 °C for (a) 3 h and (b) 12 h showing spiral hillock and non-spiral faceted pits.

$\text{Co}_{3-\delta}\text{O}_4$ was calculated to be ca. 1.37 times higher than undoped $\text{Co}_{3-\delta}\text{O}_4$.¹³

As mentioned, spontaneous oxidation of Co_{1-x}O to form $\text{Co}_{3-\delta}\text{O}_4$ spinel occur below ca. 900 °C in air.⁹ The intrinsic defects were generally believed to generate in the near-surface and dislocation regions for Co_{1-x}O to nucleate $\text{Co}_{3-\delta}\text{O}_4$ spinel with paracrystalline distribution of defect clusters.¹³ According to the phase diagram,¹⁴ the Co_{1-x}O –MgO system forms complete solid solution at high temperatures in air and decomposes below ca. 850 °C into Mg-doped (<1 at.%) $\text{Co}_{3-\delta}\text{O}_4$ spinel and protoxide of a wide Co/(Mg + Co) atomic ratio. (From 850 to 600 °C in air, the protoxide that equilibrates with Mg-doped $\text{Co}_{3-\delta}\text{O}_4$ spinel has the atomic ratio Co/(Mg + Co) decreasing from 99% to ca. 2%.¹⁴)

4.2. Solute segregation and spinel paracrystal formation at line and planar defects

According to ref.¹⁰ and the present TEM observations (Fig. 3), the dislocation of the Co_{1-x}O –MgO solid solution has a line vector parallel to $\langle 110 \rangle$ directions. The Mg^{2+} solute segregation at dislocations may affect space charge and act as inhibitor for the nucleation of etch pit via sublimation and short-circuit diffusion of atoms.

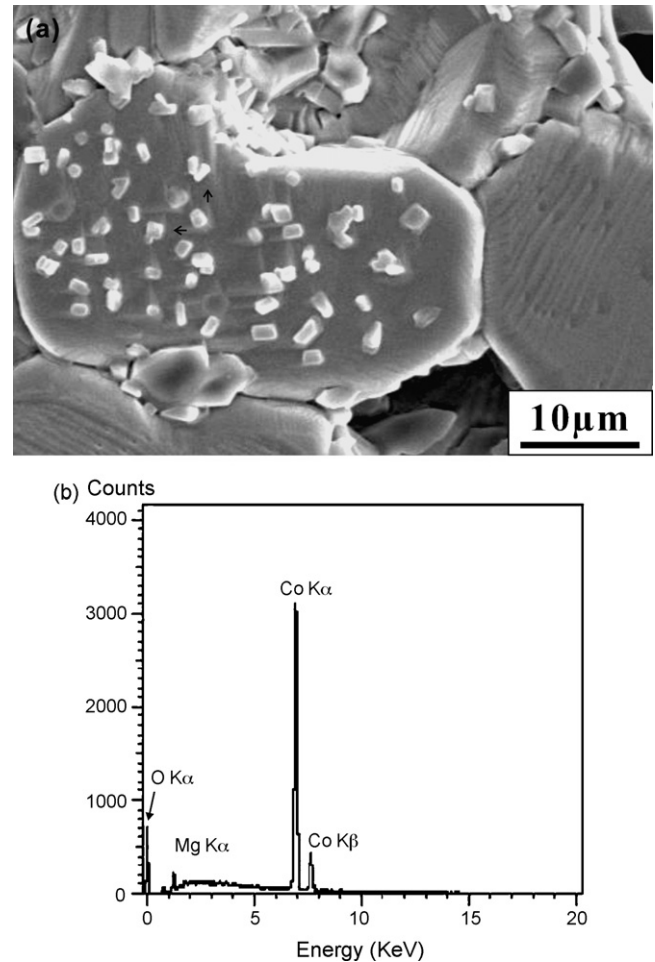


Fig. 9. SEM (a) SEI of the sintered C8M2 sample subject to thermal etching at 1500 °C for 10 min showing cube-like Co_{1-x}O condensates (bright) with slight Mg counts from the substrate as indicated by point-count EDX spectrum in (b). The cubic Co_{1-x}O condensates tended to have their edges parallel to, or 45° off, the orthogonal ledges (denoted by arrows) of the (100) terraces on the underlying solid solution grain, following two specific epitaxial relationships (cf. text).

Mg^{2+} segregation might be more pronounced at grain boundaries and free surface to affect the surface morphology upon high temperature annealing. In this connection, it is of interest to note that metal vacancy concentration can be higher near the grain boundary or free surface than in the bulk. For example, the nickel vacancy increases by a factor of about 40 over the bulk value for the (2 1 1)/[0 1 1] twist grain boundary of Ni_{1-x}O at 727 °C.¹⁵ The near-surface layers of Fe_2O_3 ,¹⁶ and CoO ¹⁷ also have much larger deviations from stoichiometry and higher defect concentrations than the bulk. Due to this surface effect, the undoped Co_{1-x}O sample suffered significant oxidation to form the spinel phase when annealed at both 800 and 1100 °C (Fig. 4b and c); whereas the Co_{1-x}O –MgO solid solution showed the spinel only when annealed at 800 °C but not 1100 °C (Fig. 5b and c). It is, thus conceivable that submicron-sized paracrystalline spinel phase in the sample annealed at 1500 °C (Fig. 2) was formed via an oxidation precipitation process near the surface and dislocation regions upon cooling below 1100 °C in air. Apparently, the surface morphology, as revealed by SEM at a

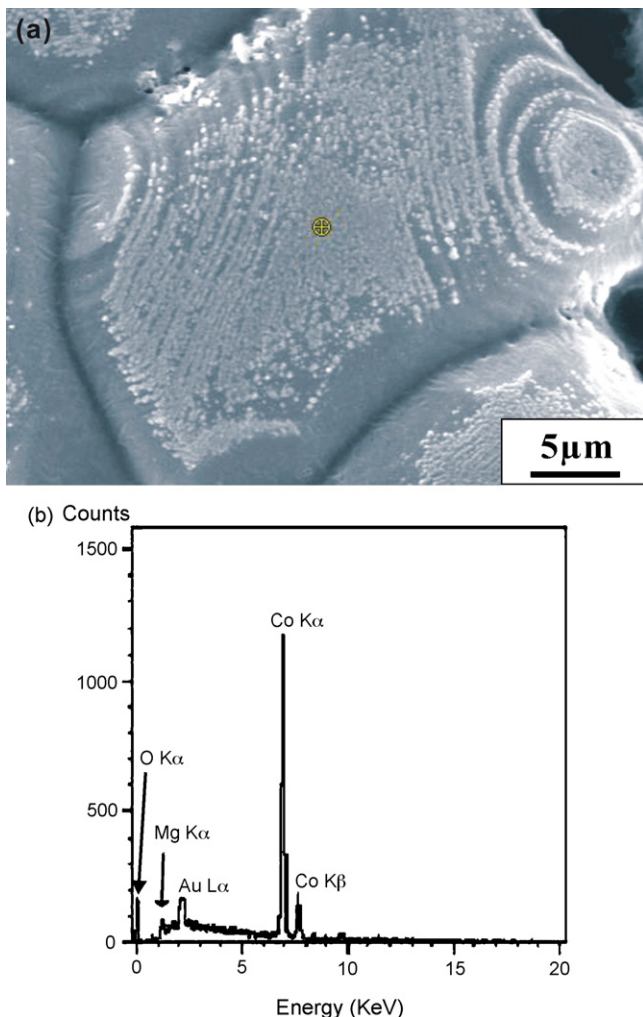


Fig. 10. Magnified SEM (a) SEI and (b) point-count EDX spectrum of the sintered C8M2 sample subject to thermal etching at 800 °C for 10 min. The precipitate-free valleys in (a) are due to grain boundary grooving and the Co-rich spinel precipitates (bright) at steps and ledges are due to an oxidation precipitation process (cf. text). The EDX spectrum taken from the area covered with the Co-rich spinel phase, as marked by a cross symbol in (a), shows Mg counts from the substrate.

much lower magnification, was affected more by dislocations than the paracrystalline state of the spinel.

4.3. Dislocation exposure and the formation of thermal etch pits with spiral hillocks

Impurity inhibitors were known to help nucleate etch pits on special oriented dislocation outcrops on a specific surface for a number of hexagonal ceramic crystals upon acidic dissolution or thermal etching as mentioned. Dissolution of willemite single crystal at low pH indicated that impurities inherent in nature or added deliberately to the etching solution, affect the incubation time of etch pit formation at dislocation outcrops.² This was rationalized by the varied poisoning effect on dislocation outcrops, in particular Fe²⁺ to maintain near-surface undersaturation of willemite, as essential to nucleate etch pits.² As for thermal etching of willemite at 1250 °C, i.e. homologous

temperature $T/T_m = 0.85$ (where T_m is the congruent melting temperature 1512 °C of willemite), such impurities were suggested to segregate to dislocation outcrops both via lattice diffusion and viscous flow during surface premelting.⁵ On the other hand, Mn significantly suppressed the formation of thermal etch pits at dislocations and subgrain boundaries of the polycrystalline willemite.⁶ As for the present nucleation of thermal etch pits at the dislocations of the Co_{1-x}O–MgO solid solution, it was presumably the accumulation of refractory component Mg²⁺ and other tramp impurities at dislocation outcrops to ensure near-surface undersaturation of the protoxide to nucleate etch pit.

The outcrops of $\langle 110 \rangle$ aligned dislocations are also of concern to the nucleation of etch pit openings with triangular and/or hexagonal shape on $\{111\}$ of the Co_{1-x}O–MgO solid solution. The triangular etch pit on $\{111\}$ surface, as observed in the incipient stage, typically has a flat base except a drastic deepening along the dislocation core (Figs. 6a and 7a). This indicated that the thermal etching rates along the principal directions have the following relationship $\langle 110 \rangle > \langle 111 \rangle > \langle 112 \rangle$, in accord with the order of decreasing d -spacing or increasing bonding strength of the protoxide. The hexagonal etch pits developed in later stage consist of the inclined $\{111\}$ and $\{111\}$ surfaces (Fig. 6b and c), which intersect at an angle of 35.26° and 0°, respectively with the $\langle 110 \rangle$ directions as shown in the (111) stereographic projection (Fig. 11a). Since $\langle 110 \rangle$ are closer to the plane normal of $\{111\}$ than $\{100\}$, the $\langle 110 \rangle$ aligned dislocations would outcrop on the $\{111\}$ rather than $\{100\}$ surfaces. This was indeed observed in Figs. 6b and c and depicted schematically in top view and cross section in Fig. 11b and c, respectively. Note the inclined $\{100\}$ surface of the etch pits may consist of alternating facets family of $\{111\}$, whereas the inclined $\{100\}$ surface have alternating $\{111\}/\{100\}$ facets, in accord with the cubo-octahedral habit of the negative crystal. Due to thermal migration of such dislocations and the dragging effect of predominant $\{111\}$ facets (Fig. 6a), the etch pit openings tended to assemble and coalesce as shown in Fig. 6b and c.

As for randomly-oriented grain boundaries, their near edge-on exposure is expected to facilitate Co sublimation for the Co_{1-x}O–MgO solid solution and undoped Co_{1-x}O sample to form deep grooving as shown in Figs. 3d and 2d, respectively. The surface morphology was complicated by the migration of dislocations and grain boundaries at a moderate high homologous temperature (such as $T/T_m = 0.74$ at 1500 °C for Co_{1-x}O–MgO solid solution) under the space charge effect. (Space charge may exert considerable Coulombic interactions on dislocations and grain boundaries to affect their mobility as experimentally proved for a number of oxide ceramics.¹⁸)

The impurity segregation at dislocation also accounts for the formation of thermal etch hillocks at the center of hexagonal etch pits analogous to the formation of thermal etch hillock of willemite.⁵ (The hillocks near the center of hexagonal pits of willemite were suggested to be a result of segregation of inhibitors at dislocation cores during thermal etching.⁵) The spiral hillocks of Co_{1-x}O–MgO solid solution (Fig. 8) can be attributed to spiral growth via dislocations more or less with screw nature along the $\langle 110 \rangle$ line vector.

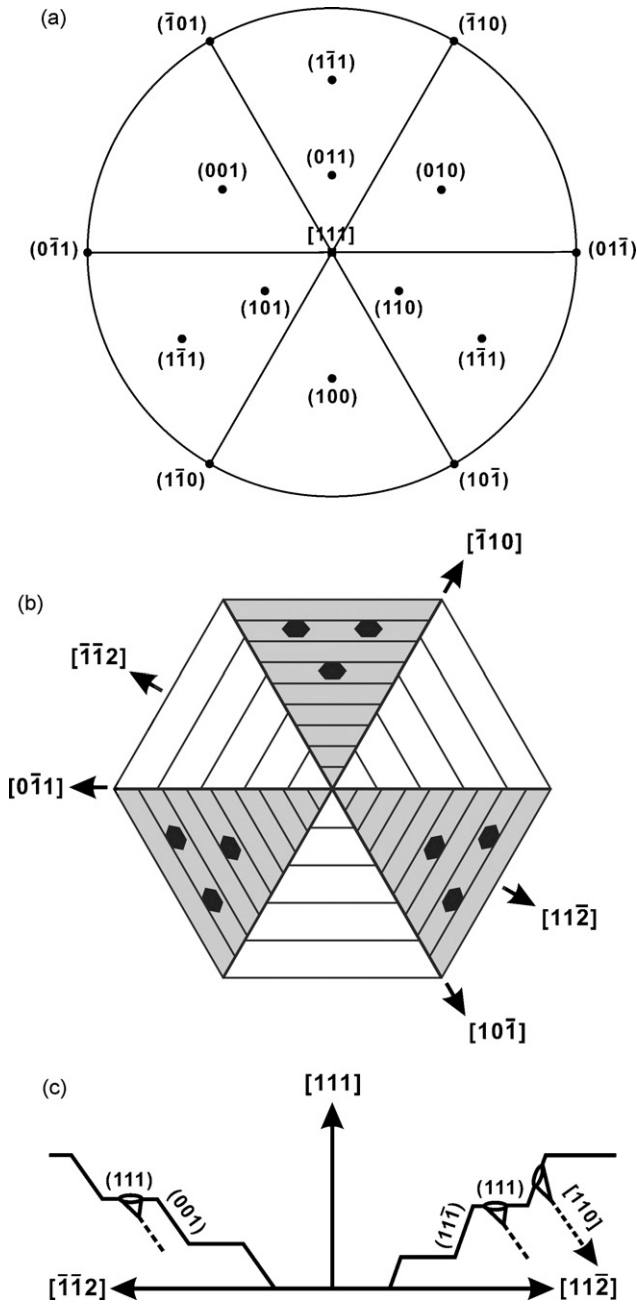


Fig. 11. (a) (111) stereographic projection of $\text{Co}_{1-x}\text{O}-\text{MgO}$ solid solution with rock salt type structure showing the plane normals $\{110\}$ are closer to $\{111\}$ than $\{100\}$, (b) corresponding etch pit drawing showing the inclined $\{111\}$ surfaces (gray), but not the inclined $\{100\}$ surfaces (white), have smaller-size etch pits nucleated at the outcrops of $\{110\}$ -aligned dislocations and (c) cross section of (b) along $[112]$ showing the $\{110\}$ -aligned dislocations tend to outcrop at the inclined $\{111\}$ surface (right) with stepwise $\{111\}$ facets, but not outcrop at the inclined $\{100\}$ surface (left) with stepwise $\{111\}/\{100\}$ facets (cf. text).

The etch pit opening with well-developed $\{111\}$ and/or $\{100\}$ walls suggested that an interface-reaction-controlled process was valid at open area of $\text{Co}_{1-x}\text{O}-\text{MgO}$ solid solution. However, at the tips of some etch pits (Fig. 7b) and hillocks (Fig. 8a), a diffusion-controlled process might prevail instead.

4.4. Epitaxial condensation of Co_{1-x}O on $\text{Co}_{1-x}\text{O}-\text{MgO}$ solid solution grain

The Co_{1-x}O condensates (denoted as c) with cube-like shape were parallel aligned or 45° off with respect to the orthogonal $\{100\}$ ledges of the underlying $\text{Co}_{1-x}\text{O}-\text{MgO}$ solid solution grain (denoted as cm) indicating that the crystallites have parallel or 45° off epitaxial relationship with the substrate. Fig. 12a and

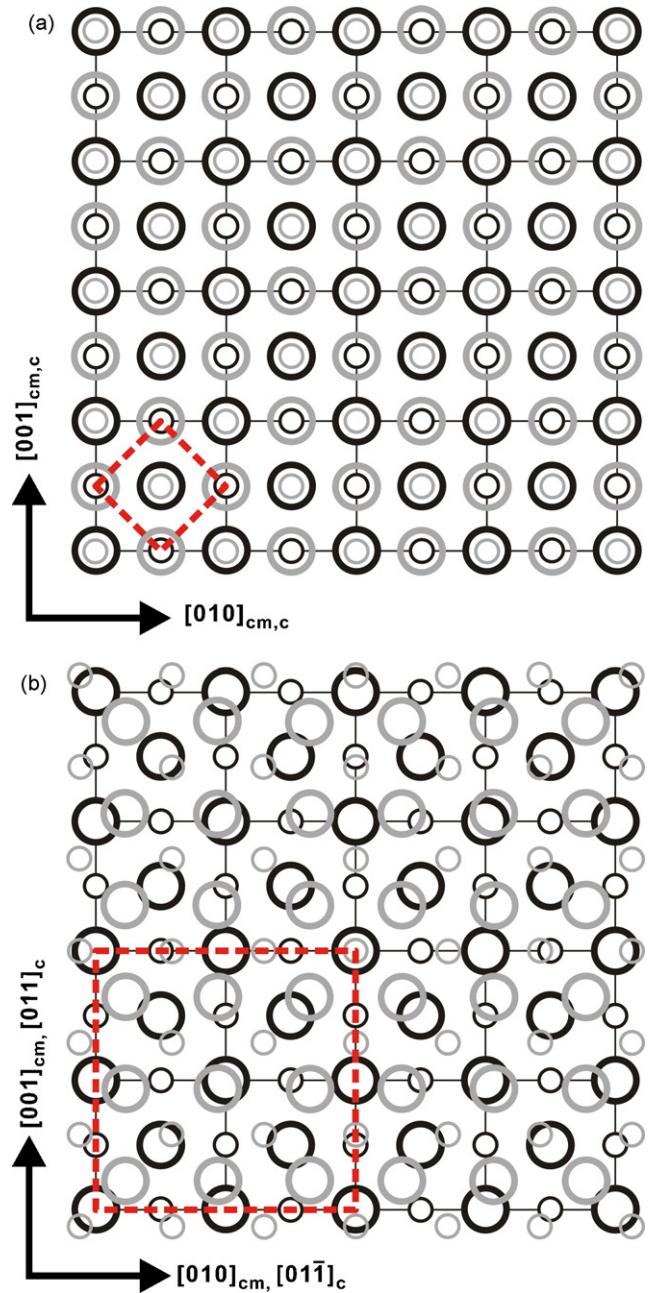


Fig. 12. Schematic drawing (top view) of the $(100)_c/(100)_{cm}$ interface for the Co_{1-x}O (gray open circle, denoted as c) condensate having (a) parallel and (b) 45° crystallographic relationships with the underlying crystal of $\text{Co}_{1-x}\text{O}-\text{MgO}$ solid solution (black open circle, denoted as cm). Cation and anion positions as denoted by small and big circles, respectively, are based on the lattice parameters (cf. text). The coincidence site lattice as defined by the superimposition of cations and anions for a beneficial Coulombic interaction is denoted by red dotted line.

b show that there is a smaller coincidence site lattice (CSL), i.e. a better match of cations and anions for a beneficial Coulombic interaction, at the $(100)_c/(100)_{cm}$ interface for the parallel than the 45° off relationship. In other words, the parallel epitaxial relationship is the energy cusp, whereas the 45° relationship is a local and secondary energy minimum.

Apparently the epitaxial $Co_{1-x}O$ crystallites were formed via a sublimation–condensation process for the less inert component, i.e. $Co_{1-x}O$ of the protoxide solid solution. It is hard, if not impossible, to envisage two epitaxial relationships if the crystallites were deposited atom-by-atom on the substrate. On the other hand, the epitaxial bifurcation can be reasonably explained by cube-like condensates being formed in the first place and then assembled via a Brownian motion/coalescence process upon deposition on the $\{100\}$ substrate until the two epitaxial relationships were reached independently. In this regard, it is of interest to note that thermally activated Brownian motion of fcc crystallites was known to occur over single crystal substrate, KCl(100) with or without steps until specific epitaxial relationships were reached.^{19–24} The experimental results of Masson et al.¹⁹ were further interpreted²⁴ with regard to the rotation behavior of non-epitaxial Au crystallites on a KCl (100) substrate, which developed into primary epitaxy $[111]_{Au}//[100]_{KCl}$; $[1\bar{1}0]_{Au}//[010]_{KCl}$, and “second” epitaxy (in fact $[100]_{Au}//[100]_{KCl}$; $[010]_{Au}//[010]_{KCl}$ and $[100]_{Au}//[100]_{KCl}$; $[010]_{Au}//[011]_{KCl}$). The size and temperature dependence of diffusivity of the crystallites has been measured over KCl(100) and found to be in accordance with Brownian-type motion of the crystallites in terms of interfacial diffusion of atoms from leading edge to trailing edge of the crystallites.¹⁹ Einstein’s molecular theory of heat,²⁵ Eyring’s transition-state model²⁶ and frictional force at a viscous interface were, thus adopted to formulate the diffusivity equation of the crystallite over the single crystal substrate.^{20–22} Following this Brownian motion scenario, we suggest that the cation–anion mixed $\{100\}$ surfaces of the $Co_{1-x}O$ –MgO solid solution grain may facilitate Co sublimation and subsequent deposition–assembly of the cubic $Co_{1-x}O$ condensates to form energetically favorable $(100)_c/(100)_{cm}$ interface under little influence of the relatively inert Mg^{2+} solute.

5. Conclusions

1. The solid solution of MgO in polycrystalline $Co_{1-x}O$ considerably suppressed the thermal etching and the formation of Co-rich spinel in the temperature range of 400–1500 °C in air.
2. Prolonged annealing of $Co_{1-x}O$ –MgO solid solution at 1500 °C caused anisotropic development of $\{111\}/\{100\}$ -faceted etch pits/hillocks due to predominant exposure of $\langle 110 \rangle$ oriented dislocations on the $\{111\}$ surfaces.
3. Cube-like $Co_{1-x}O$ crystallites were deposited preferentially on the (100) surface following parallel or 45° off crystallographic relationship with the underlying MgO– $Co_{1-x}O$ solid solution when thermally etched at 1500 °C.
4. The epitaxial bifurcation can be rationalized by Brownian motion of the cube-like $Co_{1-x}O$ condensates on the

well-developed $\{100\}$ surfaces of the underlying crystal of $Co_{1-x}O$ –MgO solid solution.

Acknowledgments

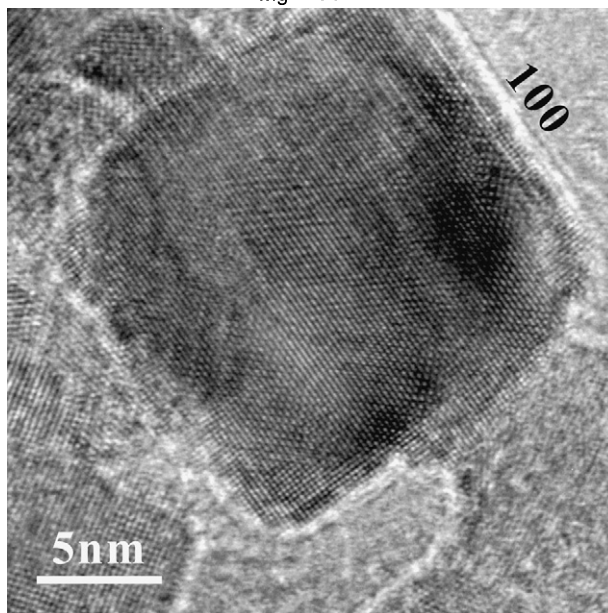
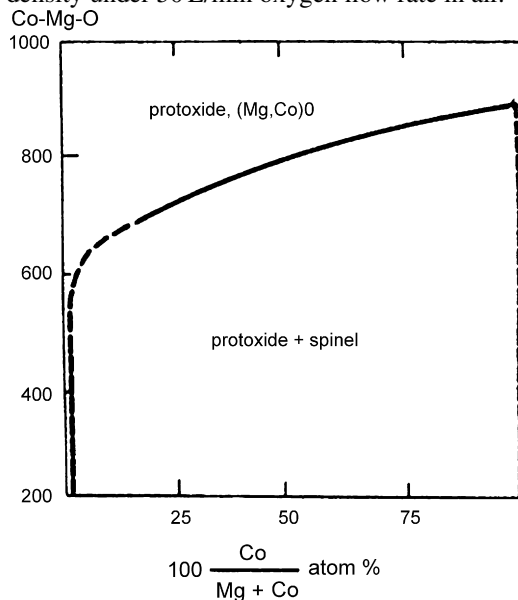
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Appendix A

CoO–MgO phase diagram after Robin (1955).¹⁴

Appendix B

Lattice image of a typical $Co_{1-x}O$ condensate with well-developed $\{100\}$ surfaces. The condensate was fabricated by pulsed Nd-YAG laser ablation on Co target at 1.5×10^8 W/cm² power density under 50 L/min oxygen flow rate in air.



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